

12/04/00  
JC972 U.S. PTO

12-06-00

1A

UTILITY PATENT APPLICATION TRANSMITTAL  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

09/18 U.S. PTO  
09/18/730501  
12/04/00

Docket No. : 12260-02/JWE  
Inventor(s) : Pitkow and Schuetze  
Title : SYSTEM AND METHOD FOR SEARCHING AND  
RECOMMENDING OBJECTS FROM A CATEGORICALLY  
ORGANIZED INFORMATION REPOSITORY

Express Mail Label No. : EL599667683US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: December 4, 2000

1.  **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2.  Applicant claims small entity status under 37 CFR §1.27.

3. **IF A CONTINUING APPLICATION**

This application is a continuation-in-part of patent application No. 09/305,844 which was filed on May 5, 1999 (Docket No. 12260-01) and is related to a co-pending application entitled HIERARCHICAL MODEL FOR DYNAMIC PERSONALIZATION OF OBJECT SPACES AND PRIVACY PROTECTION filed on instant date herewith (Docket No. 12260-04)

This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_ (Docket No. \_\_\_\_\_).

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied is supplied under item 4c below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

4. APPLICATION COMPRISED OF

(a) Specification

65 Specification, claims and Abstract (total pages)

(b) Drawings

16 Sheets of drawing(s) (FIGS. 1 to 19)

(c) Declaration and Power of Attorney

Newly executed

Copy from a prior application (37 CFR 1.63(d))  
(for continuation and divisional)

An **unsigned** declaration

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5.  Microfiche Computer Program (*Appendix*)
6.  Nucleotide and/or Amino Acid Sequence Submission (*if applicable, all necessary*)
  - Computer Readable Copy
  - Paper Copy (identical to computer copy)
  - Statement verifying identity of above copies

7. ALSO ENCLOSED ARE

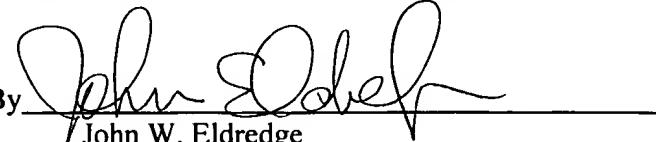
- Preliminary Amendment
- Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- 37 CFR 3.73(b) Statement (where there is an Assignee)
- This application is owned by pursuant to an Assignment recorded at Reel \_\_, Frame \_\_
- X Information Disclosure Statement (IDS)/PTO-1449
  - Copies of IDS Citations
  - Certified copy of Priority Document(s) (*if foreign priority is claimed*)
  - English Translation Document (*if applicable*)
- X Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- Other

8. CORRESPONDENCE ADDRESS

Please address all correspondence to STRADLING YOCCA CARLSON & RAUTH, IP  
Department, P.O. Box 7680, 660 Newport Center Drive, Suite 1600, Newport Beach, California  
92660-6441.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By   
John W. Eldredge  
Reg. No. 37,613  
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JWE/mg

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

JC918 U.S. PTO  
09/730501  
12/04/00

**DATE: December 4, 2000**

Docket No. : 12260-02/JWE  
 Inventor(s) : Pitkow and Schuetze  
 Title : SYSTEM AND METHOD FOR SEARCHING AND RECOMMENDING OBJECTS  
 FROM A CATEGORICALLY ORGANIZED INFORMATION REPOSITORY

<b>FEES CALCULATIONS</b>					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
<b>A</b>	<b>TOTAL CLAIMS</b>	49 - 20 =	29	29 x \$9.00	\$ 261.00
<b>B</b>	<b>INDEPENDENT CLAIMS</b>	6 - 3 =	3	3 x \$40.00	\$ 120.00
<b>C</b>	<b>SUBTOTAL</b>		SMALL ENTITY FEE = LARGE ENTITY FEE =	A + B 2 X (A + B)	\$ 381.00
<b>D</b>	<b>BASIC FEE</b>		SMALL ENTITY FEE = LARGE ENTITY FEE =	\$355.00 \$710.00	\$ 355.00
<b>E</b>	<b>MULTIPLE-DEPENDENT CLAIMS FEE</b>		SMALL ENTITY FEE = LARGE ENTITY FEE =	\$135.00 \$270.00	
<b>F</b>	<b>TOTAL FILING FEE (ADD LINES C, D, AND E)</b>				\$ 736.00

List Independent Claims: 1, 14, 25, 31, 39, 43

**METHOD OF PAYMENT**

Payment Enclosed: Check for \$ \_\_\_\_\_ (including filing fee of \$ \_\_\_\_\_ and \$ \_\_\_\_\_ recordation fee).

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 501329. Please show our docket number with any charge or credit to our Deposit Account. A **copy of this letter is enclosed**.

No payment is enclosed.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By

John W. Eldredge  
Reg. No. 37,613